

**Compliance Training Based on the Guidelines for Supervision and Auditing of Public Research  
Funds at Research Institutions  
Comprehension Check and Pledge**

If you are unable to submit the form via SmartDB, please print this form on both sides and submit it to the Research Office. Answers and Commentary and explanations for comprehension check can be found on the website from Research Division.

**Mark the below statements as true or false.**

**Answer**

1 Public research funds, such as scientific research grants, are given to individual researchers, so each and every individual has an obligation to prevent fund misappropriation through their own self-discipline and sense of responsibility.

2 Fraudulent remuneration doesn't constitute misappropriation if the amount is small.

3 The guidelines only require research institutions to step in once funds have been misappropriated.

4 Particularly malicious cases of misappropriation are punished severely in addition to barring the individual from applying for funds according to the nature of their misconduct.

5 The names of researchers who misappropriate research funds may be publicized.

6 The whistleblower complaint system is highly effective as a first step to uncovering misconduct. The guidelines expect institutions to provide a way to accept complaints in addition to providing whistleblowers with total protection.

7 The fraud triangle is built on motivation, opportunity, and rationalization.

8 Depositing money isn't considered misappropriation if you aren't diverting the money for personal use.

9 If I'm acting out of good intentions from a sense of morality, then what I'm doing will never constitute misappropriation.

10 When using public research funds, if it's ever unclear or you are unsure of how to handle funds, you are encouraged to refer to the guidebook for handling research funds or to contact the research office.

## Pledge

To the President of Ritsumeikan University

Fully cognizant that public research funds\* are supplied from taxes, I hereby undertake to fairly and efficiently use (appropriately spend) research funds, and not to engage in improper acts in research (and to raise awareness of not engaging in improper acts).

Specifically, I pledge:

1. To abide by the Ritsumeikan University Research Ethics Guidelines.
2. To abide, in spending public research funds, by the accounting rules pertaining to the research funding, the Regulations on Management of Public Research Funds at Ritsumeikan University, the Research Fund Spending Guidebook for Researchers, and so forth (below, the "Rules, Etc."), so as to use appropriately (spend appropriately) the public research funds that are granted.
3. To cooperate conscientiously in response to any request for cooperation with investigations that I may receive from the Operational Audit Office.
4. To accept such disciplinary measures as the Ministry of Education, Culture, Sports, Science and Technology, the Japan Society for the Promotion of Science, or other public funding allocation agency may determine in conjunction with Ritsumeikan University, if I improperly apply for, receive, or spend public research funding in violation of regulations, etc.

"Public research funds" refers to:

- ① Grants-in-Aid for Scientific Research (KAKENHI)
- ② Competitive funds allocated by the Ministry of Education, Culture, Sports, Science and Technology and other public fund-allocating bodies
- ③ Research funds allocated by government organizations, independent administrative institutions, local governments, special public corporations, and similar entities
- ④ Grant money, etc. to which "appropriate management and auditing shall be performed according to the Guidelines for Management and Auditing of Public Research Funds at Research Institutions (Code of Practice)" applies by notification from the Ministry of Education, Culture, Sports, Science and Technology or rule indicated by the allocating body

Date :      (YY)      (MM)      (DD)

Faculty ID No. : \_\_\_\_\_

Department : \_\_\_\_\_

Position : \_\_\_\_\_

Name : \_\_\_\_\_

\*Funding for research conducted by JSPS Fellows (DC) write student ID number in Faculty ID No. and DC1 or DC2 in Position.

[Note] If this Pledge is not submitted, you will not be able to be involved in the receiving or spending of public research funds.

【事務局欄】

受領（受付）	採番処理（研究企画課）		事務局通信欄
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